

PTO/SB/08a (08-03)

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1 of 2

*Complete If Known*

Application Number	10/773,123
Filing Date	February 5, 2004
First Named Inventor	CAO, Densen
Art Unit	2815
Examiner Name	Unassigned <i>JACK S. W.</i>

Attorney Docket Number 5045.6 P

*(CLASS)  
S-1  
C18-55*
**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 4,727,289	02-23-88	UCHIDA, Akio	
		US- 5,463,280	10-31-95	JOHNSON, James C.	
		US- 5,575,459	11-19-96	ANDERSON, Robert A.	
		US- 5,655,830	08-12-97	RUSKOUSKI, Charles R.	
		US- 5,688,042	11-18-97	MADADI, Abolfazi, et al.	
		US- 5,765,940	06-16-98	LEVY, Robert, et al.	
		US- 5,806,965	09-15-98	DEESE, Robert E.	
		US- 5,813,752	09-29-98	SINGER, Barry, et al.	
		US- 5,890,794	04-06-99	ABTAHI, Homayoon, et al.	
		US- 6,045,240	04-04-00	HOCHSTEIN, Peter A.	
		US- 6,149,283	11-21-00	CONWAY, Kathryn M., et al.	
		US- 6,220,722	04-24-01	BEGEMANN, Simon H. A.	
		US- 6,499,860	12-31-02	BEGEMANN, Simon H. A.	
		US- 6,502,952	01-07-03	HARTLEY, Fred Jack	
		US- 6,561,680	05-13-03	SHIH, Kelvin	
		US- 6,577,073	06-10-03	SHIMIZU, Masanori, et al.	
		US- 6,580,228	06-17-03	CHEN, James C., et al.	
		US-			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>

Examiner Signature *James D. S.*Date Considered *10/05*

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449B/PTO				<i>Complete if Known</i>	
				<i>Application Number</i>	10/773,123
				<i>Filing Date</i>	February 5, 2004
				<i>First Named Inventor</i>	CAO, Densen
				<i>Art Unit</i>	2815
				<i>Examiner Name</i>	<u>Unassigned</u> — <u>Jackson</u>
Sheet	2	of	2	<i>Attorney Docket Number</i>	

## **NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		SCHWEBER, BILL, "LEDs Move From Indication To Illumination", EDN, August 2, 2001, Pages 75-82.	

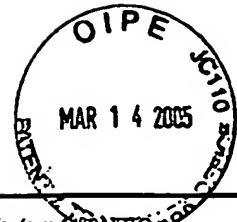
Examiner  
Signature

**Date Considered**

10/05

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PTO/SB/08a (08-03)

Substitute for form 1449-AVHTD

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(use as many sheets as necessary)

Sheet

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of

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Complete if Known	
Application Number	10/773,123
Filing Date	February 5, 2004
First Named Inventor	CAO, Densen
Art Unit	2815
Examiner Name	Unassigned <i>Jackson</i>
Attorney Docket Number	5045.6 P

*C1655  
5/21/05  
C1655*

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		US- 6,580,228	05-17-03	CHEN, James C., et al.	
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Examiner Signature

*Doschall*

Date Considered

10/05

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Substitute for form 1449B/PTO				<i>Properties (see)</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>					
(use as many sheets as necessary)				<b>Complete If Known</b>	
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					<i>Filing Date</i> February 5, 2004
					<i>First Named Inventor</i> CAO, Densen
					<i>Art Unit</i> 2815
					<i>Examiner Name</i> <u>Unassigned</u> <i>Jackson</i>
					<i>Attorney Docket Number</i> 5045.6 P

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		SCHWEBER, BILL, "LEDs Move From Indication to Illumination", TechTrends, August 2, 2001, Pages 75-82	

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